# Decoding the Standard Model with Flavour Physics

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#### **Outline:**

- 1. The Standard Model and the Flavour Problem
- 2. The  $V_{cb}$  puzzle
- 3. Outlook and prospects on BSM

Despite the SM successes, there are open problems:

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Hierarchy problem

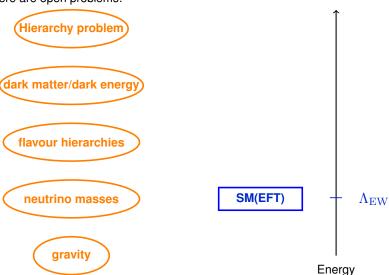
dark matter/dark energy

flavour hierarchies

neutrino masses

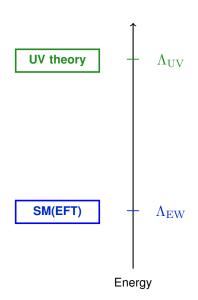
gravity

Despite the SM successes, there are open problems:



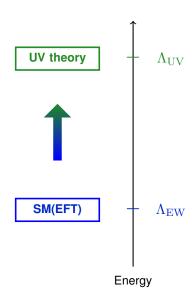
Despite the SM successes, there are open problems:

(Hierarchy problem) dark matter/dark energy flavour hierarchies neutrino masses gravity



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(Hierarchy problem) dark matter/dark energy flavour hierarchies neutrino masses gravity



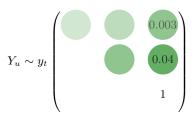
## The (two) flavour problems

- The SM flavour problem: The measured Yukawa pattern doesn't seem accidental
  - ⇒ Is there any deeper reason for that?

- 2. The NP flavour problem: If we regard the SM as an EFT valid below a certain energy cutoff  $\Lambda$ , why don't we see any deviations in flavour changing processes?
  - ⇒ Which is the flavour structure of BSM physics?

# The SM flavour problem

$$\mathcal{L}_{\text{Yukawa}} \supset Y_u^{ij} \bar{Q}_L^i H u_R^j$$



# The SM flavour problem

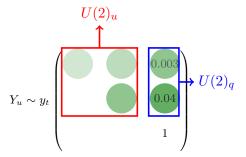
$$\mathcal{L}_{\text{Yukawa}} \supset Y_u^{ij} \bar{Q}_L^i H u_R^j$$

$$Y_u \sim y_t$$
  $\begin{pmatrix} & & & & \\ & & & & \\ & & & & 1 \end{pmatrix}$ 

Exact  $U(2)^n$  limit

# The SM flavour problem

$$\mathcal{L}_{\text{Yukawa}} \supset Y_u^{ij} \bar{Q}_L^i H u_R^j$$



An approximate  $U(2)^n$  is acting on the light families!

# The NP flavour problem



Large Flavour symmetry | Flavour degeneracy is broken

Three replica of the same fermion fields

 $U(3)^5$  symmetry

The breaking is peculiar

• In the SM: accidental  $U(3)^5 \to \text{approx } U(2)^n$ 

## The NP flavour problem

$$\mathcal{L} = \boxed{\mathcal{L}_{\text{gauge}}} + \boxed{\mathcal{L}_{\text{Higgs}}} + \boxed{\sum_{d,i} \frac{c_i^{(d)}}{\Lambda^{d-4}} \mathcal{O}_i^d}$$

Large Flavour symmetry | Flavour degeneracy is broken

Three replica of the same fermion fields

The breaking is peculiar

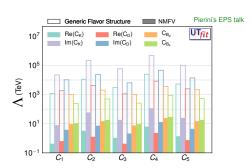
- In the SM: accidental  $U(3)^5 \to \text{approx } U(2)^n$
- What happens when we switch on NP?

# The NP flavour problem

$$\mathcal{L} = \left[ \mathcal{L}_{\mathrm{gauge}} \right] + \left[ \mathcal{L}_{\mathrm{Higgs}} \right] + \left[ \sum_{d,i} \frac{c_i^{(d)}}{\Lambda^{d-4}} \mathcal{O}_i^d \right]$$

- · What is the energy scale of NP?
- Why haven't observed any violation of accidental symmetries yet?

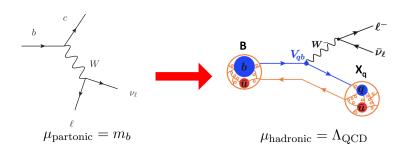
 $\Lambda_{UV}$ 



 $\Lambda_{
m EW}$ 

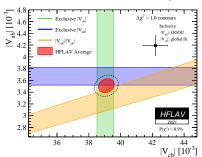
no breaking of the  $U(2)^n$  flavour symmetry at low energies

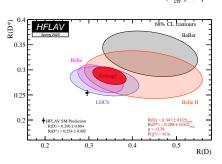
#### **Partonic vs Hadronic**

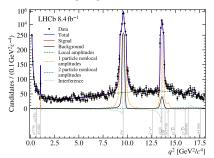


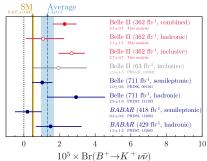
Fundamental challenge to match partonic and hadronic descriptions

# Old and new puzzles in flavour physics

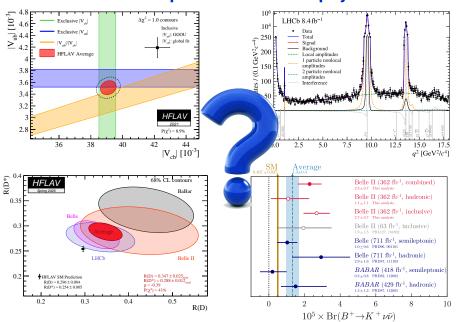








# Old and new puzzles in flavour physics



# The $V_{cb}$ puzzle

#### The CKM matrix

#### Interaction basis

- ⇒ gauge interactions are diagonal
- ⇒ mass terms are not diagonal

$$-\mathcal{L}_{\mathrm{Y}} = Y_{d}^{ij} \bar{Q}_{L}^{i} H d_{R}^{j} + Y_{u}^{ij} \bar{Q}_{L}^{i} \tilde{H} u_{R}^{j} + \mathrm{h.c.}$$
 Non-diagonal Yukawa

#### Mass basis

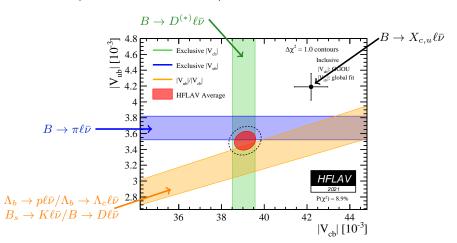
- ⇒ Yukawa couplings are diagonal
- ⇒ The CKM matrix is the remnant of the diagonalisation

$$\mathcal{L}_{cc} \propto ar{u}_L^i \gamma^\mu d_L^j W_\mu^+ V_{ij}$$

CKM matrix

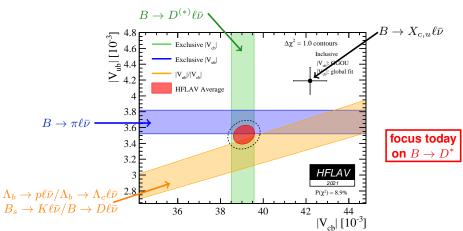
# The $V_{cb} - V_{ub}$ puzzle

- Large discrepancies between inclusive and exclusive determinations
- Recent work mostly on  $B \to D^*$  due to new lattice QCD form factors determinations
- When precision increases, more puzzles arise



# The $V_{cb} - V_{ub}$ puzzle

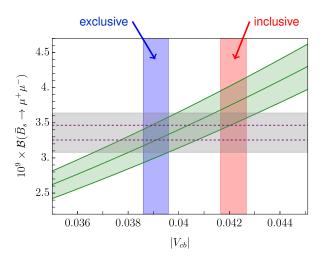
- Large discrepancies between inclusive and exclusive determinations
- Recent work mostly on  $B \to D^*$  due to new lattice QCD form factors determinations
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# Why is $V_{cb}$ important?

 $\left|V_{cb}\right|$  is a fundamental parameter to predict all flavour changing processes

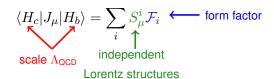
$$\mathcal{B}(B_s \to \mu^+ \mu^-) \sim |V_{cb}|^2$$



## **Exclusive matrix elements**

$$\langle H_c | J_\mu | H_b \rangle = \sum_i S^i_\mu \mathcal{F}_i$$

#### **Exclusive matrix elements**



#### **Exclusive matrix elements**

$$\langle H_c | J_\mu | H_b \rangle = \sum_i S_\mu^i \mathcal{F}_i \qquad \text{form factor}$$
 
$$\text{scale } \Lambda_{\text{QCD}} \qquad \text{independent}$$
 
$$\text{Lorentz structures}$$

#### Form factors determinations

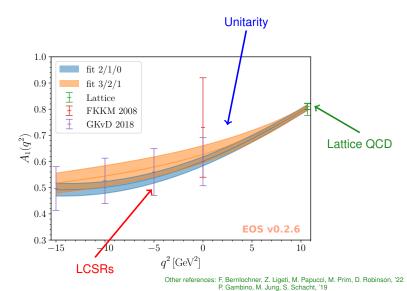
- Lattice QCD
- QCD SR, LCSR

only points at specific kinematic points

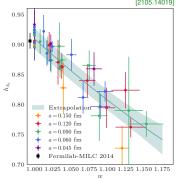
#### Form factors parametrisations

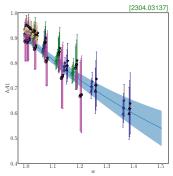
- HQET (CLN + improvements) ⇒ reduce independent degrees of freedom
- Analytic properties → BGL

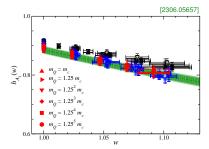
data points needed to fix the coefficients of the expansion



# $B \to D^*$ from lattice away from zero recoil

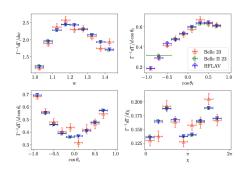


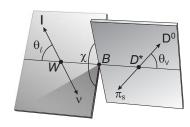




- Are these results compatible with each other?
- Are they compatible with experimental data?

#### New $B \to D^* \ell \bar{\nu}$ Belle and Belle II data





- $$\begin{split} \frac{d\Gamma}{dw d\cos(\theta_{\ell}) d\cos(\theta_{v}) d\chi} &= \frac{3G_{F}^{2}}{1024\pi^{4}} |V_{cb}|^{2} \eta_{EW}^{2} M_{B} r^{2} \sqrt{w^{2} 1} q^{2} \\ &\times \left\{ (1 \cos(\theta_{\ell}))^{2} \sin^{2}(\theta_{v}) H_{+}^{2}(w) + (1 + \cos(\theta_{\ell}))^{2} \sin^{2}(\theta_{v}) H_{-}^{2}(w) \right. \\ &+ 4 \sin^{2}(\theta_{\ell}) \cos^{2}(\theta_{v}) H_{0}^{2}(w) 2 \sin^{2}(\theta_{\ell}) \sin^{2}(\theta_{v}) \cos(2\chi) H_{+}(w) H_{-}(w) \\ &- 4 \sin(\theta_{\ell}) (1 \cos(\theta_{\ell})) \sin(\theta_{v}) \cos(\theta_{v}) \cos(\chi) H_{+}(w) H_{0}(w) \\ &+ 4 \sin(\theta_{\ell}) (1 + \cos(\theta_{\ell})) \sin(\theta_{v}) \cos(\theta_{v}) \cos(\chi) H_{-}(w) H_{0}(w) \right\} \end{split}$$
- kinematic variable

  Available on HEPData with

Between 7 to 10 bins per

- Available on HEPData with correlations
- Angular observables analysis are available, data just newly released

# **Analysis strategies**

#### Setup

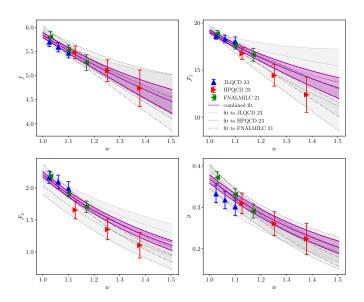
- BGL parametrisation
- Bayesian inference to apply unitarity

Flynn, Jüttner, Tsang, '23

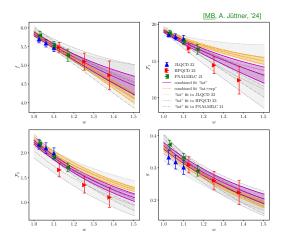
#### Questions

- Combine the three LQCD datasets
  - ⇒ Is the combination acceptable?
- Combine with experimental data
- What are the consequences for phenomenology?

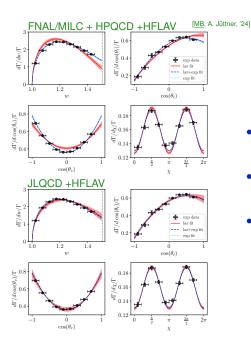
# **Lattice only**



## Lattice + experimental data

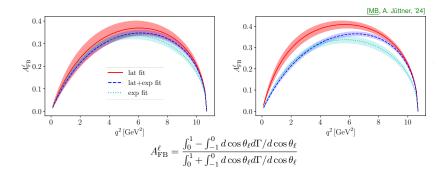


- Good fit quality (p-value  $\sim 18\%$ )
- Adding experimental data reduces the uncertainties, especially at large w
- Especially for F<sub>1</sub> and F<sub>2</sub>, the shape changes when including experimental data



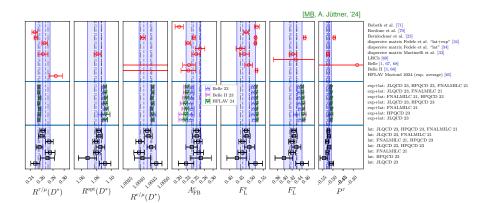
- Fit to HPQCD and FNAL/MILC misses experimental points
- BGL fit to experimental and lattice data has  $p{\rm -value} \sim 18\%$
- BGL coefficients shift of a few  $\sigma$  when including experimental data

## **Differential observables**



- The combined lattice + experimental precision makes it possible to study the differences in the shape
- It is clear that there is a distinct difference between JLQCD and FNAL/MILC+HPQCD
- Difficult to understand what is going on, JLQCD errors are also a bit larger

# Integrated observables

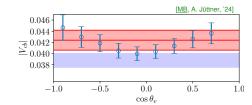


- · Significant scatter between various combinations of lattice results
  - We apply a systematic error to account for the spread
- Consistent scatter of the experimental results independently of the lattice information

⇒ see also: Fedele et al, '23

# $|V_{cb}|$ extraction

$$|V_{cb}|_{\alpha,i} = \left(\Gamma_{\rm exp} \left[\frac{1}{\Gamma} \frac{d\Gamma}{d\alpha}\right]_{\rm exp}^{(i)} / \left[\frac{d\Gamma_0}{d\alpha}(\mathbf{a})\right]_{\rm lat}^{(i)}\right)^{1/2}$$



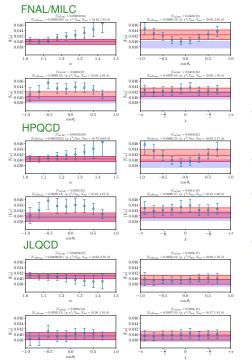
#### Blue band

- Frequentist fit p-value  $\sim 0\%$
- Affected by d'Agostini Bias

#### Red band

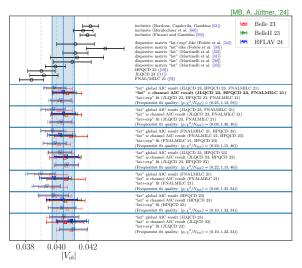
- Frequentist fit p-value  $\sim 0\%$
- Akaike-Information-Criterion analysis: average over all possible fits with at least two data points and then weighted average

$$\begin{split} w_{\{\alpha,i\}} &= \mathcal{N}^{-1} \exp\left(-\frac{1}{2}(\chi_{\{\alpha,i\}}^2 - 2N_{\mathrm{dof},\{\alpha,i\}})\right) \qquad \text{where} \quad \mathcal{N} = \sum_{\mathrm{sets}\,\{\alpha,i\}} w_{\mathrm{set}} \\ &|V_{cb}| = \langle |V_{cb}| \rangle \equiv \sum_{\mathrm{sets}\,\{\alpha,i\}} w_{\mathrm{set}} |V_{cb}|_{\mathrm{set}} \end{split}$$



- The AIC nicely reduces the d'Agostini bias
- Some lattice data behave strangely
- Would it be safer to discard the angular distributions?
- Combining the three lattice datasets doesn't help, shape driven by FNAL/MILC and HPQCD

### $|V_{cb}|$ - Summary



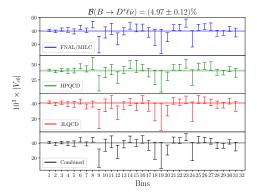
- Residual  $2\sigma$  difference with inclusive
- The AIC produces slightly larger uncertainties, overall all results are quite consistent

#### What about other datasets?

IMB, O. Heald, A. Jüttner, in preparation1

#### Belle angular observables

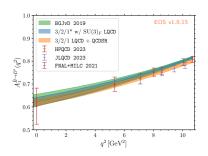
$$\begin{split} \frac{\mathrm{d}\Gamma(\bar{B} \to D^*\ell\bar{\nu}_\ell)}{\mathrm{d}w\,\mathrm{d}\cos\theta_\ell\,\mathrm{d}\cos\theta_\mathrm{V}\,\mathrm{d}\chi} &= \frac{2G_\mathrm{F}^2\eta_\mathrm{EW}^2|V_\mathrm{cb}|^2m_B^4m_\mathrm{D^*}}{2\pi^4} \times \left(J_{1s}\sin^2\theta_\mathrm{V} + J_{1c}\cos^2\theta_\mathrm{V}\right. \\ &\quad + \left(J_{2s}\sin^2\theta_\mathrm{V} + J_{2c}\cos^2\theta_\mathrm{V}\right)\cos2\theta_\ell + J_3\sin^2\theta_\mathrm{V}\sin^2\theta_\ell\cos2\chi \\ &\quad + J_4\sin2\theta_\mathrm{V}\sin2\theta_\ell\cos\chi + J_5\sin2\theta_\mathrm{V}\sin\theta_\ell\cos\chi + \left(J_{6s}\sin^2\theta_\mathrm{V} + J_{6c}\cos^2\theta_\mathrm{V}\right)\cos\theta_\ell \\ &\quad + J_7\sin2\theta_\mathrm{V}\sin\theta_\ell\sin\chi + J_8\sin2\theta_\mathrm{V}\sin2\theta_\ell\sin\chi + J_9\sin^2\theta_\mathrm{V}\sin^2\theta_\ell\sin2\chi \right). \end{split}$$

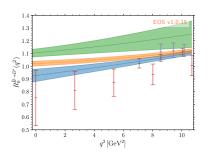


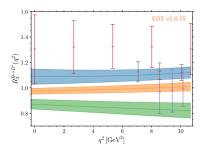
#### Results are consistent with our previous analysis

#### Can a different parametrisation help?

[MB, N. Gubernari, M. Jung D. van Dyk, '25]



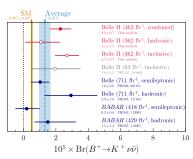


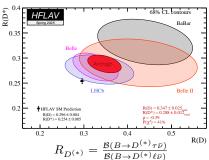


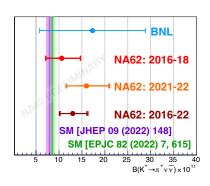
## same pattern of deviations observed

**Outlook and prospects on BSM** 

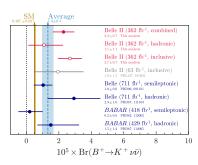
#### What about BSM?

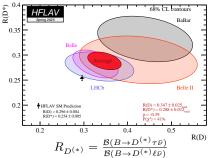


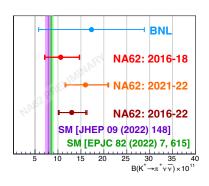




#### What about BSM?







Can we accommodate all these deviations together?

#### The EFT approach

- Since we haven't observed any clear sign of NP yet at low energies, we can
  work in an EFT context
  - Agnostic of the nature of new physics, describe more than one UV model with the same operators
  - ⇒ Try to derive model-independent bounds
- We use the SMFFT
  - ⇒ Build all possible operators with SM fields and respecting SM symmetries
- The remnant of high-energy new physics is contained in the Wilson Coefficients
  - ⇒ With flavour, we have a lot of free degrees of freedom
  - ⇒ We need a criterium to infer their magnitude

### The $U(2)^n$ symmetry for BSM

$$q_{3L} \sim (\mathbf{1}, \mathbf{1})$$
  $\ell_{3L} \sim (\mathbf{1}, \mathbf{1})$   $Q_L = (Q_L^1, Q_L^2) \sim (\mathbf{\bar{2}}, \mathbf{1})$   $L_L = (\ell_L^1, \ell_L^2) \sim (\mathbf{1}, \mathbf{\bar{2}})$ 

Unbroken  $U(2)^5$ 

$$Y_u = y_t \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$



$$\bar{q}_{3L}\Gamma q_{3L}$$
  $\checkmark$ 
 $\bar{q}_{3L}\Gamma Q$   $\checkmark$ 

### The $U(2)^n$ symmetry for BSM

$$egin{aligned} q_{3L} &\sim (\mathbf{1},\mathbf{1}) & \ell_{3L} &\sim (\mathbf{1},\mathbf{1}) \ Q_L &= (Q_L^1,Q_L^2) &\sim (\mathbf{ar{2}},\mathbf{1}) & L_L &= (\ell_L^1,\ell_L^2) &\sim (\mathbf{1},\mathbf{ar{2}}) \ V_q &\sim (\mathbf{2},\mathbf{1}) & V_\ell &\sim (\mathbf{1},\mathbf{2}) \end{aligned}$$

Unbroken  $U(2)^5$ 

Soft symmetry breaking

#### Flavour Non-Universal New Physics

Dvali, Shifman, '00 Panico, Pomarol, '16 MB, Cornella, Fuentes-Martin, Isidori '17 Allwicher, Isidori, Thomsen '20 Barbieri, Cornella, Isidori, '21 Davighi, Isidori '21



#### Basic idea:

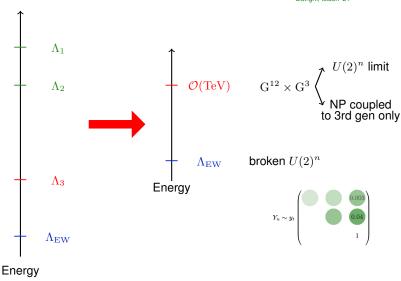
- 1st and 2nd have small masses and small couplings to NP because they are generated by dynamics at a heavier scale
- 3rd generation is linked to dynamics at lower scales and has stronger couplings

#### Flavour deconstruction:

fermion families interact with different gauge groups and flavour hierarchies emerge as accidental symmetries

### **Flavour Non-Universal New Physics**

Dvali, Shifman, '00 Panico, Pomarol, '16 MB, Cornella, Fuentes-Martin, Isidori '17 Allwicher, Isidori, Thomsen '20 Barbieri, Cornella, Isidori, '21 Davibhi, Isidori '21



#### Which operators?

$$Q^\pm_{\ell q} = (\bar{q}_L^3 \gamma^\mu q_L^3)(\bar{\ell}_L^3 \gamma_\mu \ell_L^3) \pm (\bar{q}_L^3 \gamma^\mu \sigma^a q_L^3)(\bar{\ell}_L^3 \gamma_\mu \sigma^a \ell_L^3) \quad Q_S = (\bar{\ell}_L^3 \tau_R)(\bar{b}_R q_L^3)$$

#### Which operators?

$$\begin{split} Q^{\pm}_{\ell q} &= (\bar{q}_L^3 \gamma^\mu q_L^3) (\bar{\ell}_L^3 \gamma_\mu \ell_L^3) \pm (\bar{q}_L^3 \gamma^\mu \sigma^a q_L^3) (\bar{\ell}_L^3 \gamma_\mu \sigma^a \ell_L^3) & Q_S &= (\bar{\ell}_L^3 \tau_R) (\bar{b}_R q_L^3) \\ & \uparrow & \uparrow \\ SU(2) \text{ singlet} & SU(2) \text{ triplet} & \text{scalar} \end{split}$$

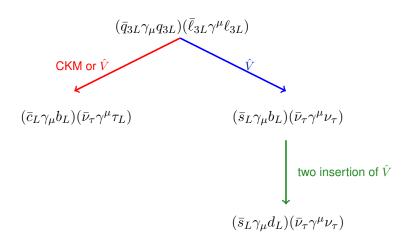
### Which operators?

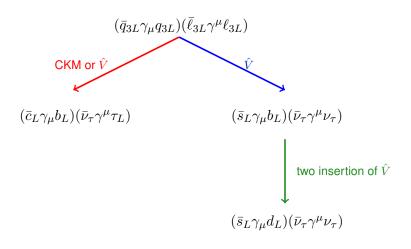
$$\begin{split} Q^{\pm}_{\ell q} &= (\bar{q}_L^3 \gamma^\mu q_L^3) (\bar{\ell}_L^3 \gamma_\mu \ell_L^3) \pm (\bar{q}_L^3 \gamma^\mu \sigma^a q_L^3) (\bar{\ell}_L^3 \gamma_\mu \sigma^a \ell_L^3) & Q_S &= (\bar{\ell}_L^3 \tau_R) (\bar{b}_R q_L^3) \\ & \uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow \\ SU(2) \text{ singlet} & SU(2) \text{ triplet} & \text{scalar} \end{split}$$

- Only left-handed neutrinos

$$q_L^b = \begin{pmatrix} V_{j3}^* u_L^j \\ b_L \end{pmatrix} \qquad Q_L^i = \begin{pmatrix} V_{ji}^* u_L^j \\ d_L^i \end{pmatrix} \qquad \hat{V}_q \equiv -\epsilon V_{ts} \begin{pmatrix} \kappa V_{td} / V_{ts} \\ 1 \end{pmatrix}$$







## Correlations among all these modes is essential to prove NP scenarios

#### What do we expect in the SMEFT?

$$\mathcal{L}_{\mathrm{EFT}} \supset \frac{C_{bc\tau\tau}}{\Lambda^{2}} (\bar{b}_{L}\gamma_{\nu}c_{L})(\bar{\nu}_{\tau}\gamma^{\mu}\tau_{L})$$
 From  $U(2)^{n} \Rightarrow C_{bc\tau\tau} \sim V_{cb}\mathcal{O}(1)$  From  $R_{D^{(*)}} \Rightarrow \Lambda \sim \mathcal{O}(\mathrm{TeV})$ 

Using  $SU(2)_L$  invariance, we have

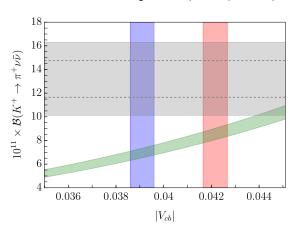
$$\mathcal{L}_{\mathrm{EFT}} \supset \frac{C_{ij\tau\tau}}{\Lambda^2} (\bar{d}_L^i \gamma_\nu d_L^j) (\bar{\nu}_\tau \gamma^\mu \nu_\tau)$$
 
$$B^+ \to K^+ \nu \bar{\nu}$$
 
$$\text{From } U(2)^n \Rightarrow C_{bs\tau\tau} \sim V_{cb} \mathcal{O}(1)$$
 
$$\text{From } U(2)^n \Rightarrow C_{sd\tau\tau} \sim 10^{-1} V_{cb} \mathcal{O}(1)$$

### On the $V_{cb}$ puzzle (again)

$$\mathcal{B}(K^{+} \to \pi^{+} \nu \bar{\nu}) \propto \left|\lambda_{ts}\right|^{2} \quad \lambda_{ts} \equiv \lambda \left|V_{cb}\right|^{2} \left[ \left(\bar{\rho} - 1\right) \left(1 - \frac{\lambda^{2}}{2}\right) + i\bar{\eta} \left(1 + \frac{\lambda^{2}}{2}\right) \right] + \mathcal{O}(\lambda^{4})$$

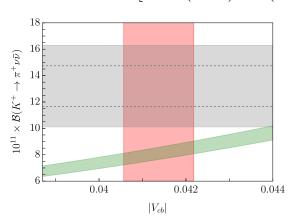
### On the $V_{cb}$ puzzle (again)

$$\mathcal{B}(K^{+} \to \pi^{+} \nu \bar{\nu}) \propto \left| \lambda_{ts} \right|^{2} \quad \lambda_{ts} \equiv \lambda |V_{cb}|^{2} \left[ (\bar{\rho} - 1) \left( 1 - \frac{\lambda^{2}}{2} \right) + i \bar{\eta} \left( 1 + \frac{\lambda^{2}}{2} \right) \right] + \mathcal{O}(\lambda^{4})$$

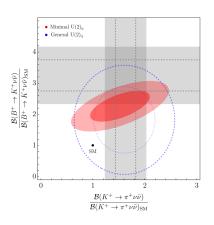


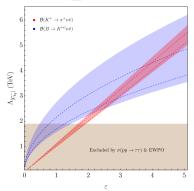
### On the $V_{cb}$ puzzle (again)

$$\mathcal{B}(K^{+} \to \pi^{+} \nu \bar{\nu}) \propto \left| \lambda_{ts} \right|^{2} \quad \lambda_{ts} \equiv \lambda \left| V_{cb} \right|^{2} \left[ \left( \bar{\rho} - 1 \right) \left( 1 - \frac{\lambda^{2}}{2} \right) + i \bar{\eta} \left( 1 + \frac{\lambda^{2}}{2} \right) \right] + \mathcal{O}(\lambda^{4})$$

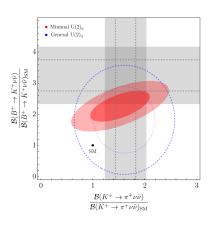


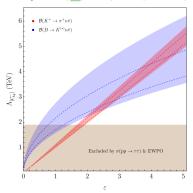
$$\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu})^{\text{SM}} = (8.09 \pm 0.63) \times 10^{-11}$$
  
 $\mathcal{B}(K_L \to \pi^0 \nu \bar{\nu})^{\text{SM}} = (2.58 \pm 0.30) \times 10^{-11}$ 





- The  $U(2)^n$  symmetry creates a natural link between all this observables
- The complementarity between low- and high-energy data is useful to probe the parameter space

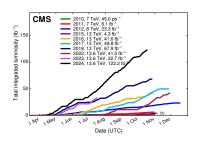


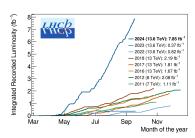


- The  $U(2)^n$  symmetry creates a natural link between all this observables
- The complementarity between low- and high-energy data is useful to probe the parameter space

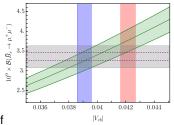
#### Further data is essential!

#### **Experimental prospects**





- Experimental facilities are delivering unprecedented datasets
- The experimental reach supported by new analysis techniques already superseded the expectations
- Theoretical advancements are crucial for achieving greater precision in understanding flavor processes and evaluating potential signs of new physics



#### **Summary**

 Flavour physics has the potential to test for possible hints of extensions of the SM

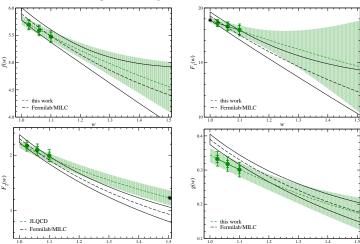
• The main showstopper is the theoretical precision

A lot of progress has been made, but a few pivotal puzzles persist

 There are hints for possible BSM directions, but more efforts and more data are needed to shed light on their nature

# Appendix

### Compatiblity of lattice data



- Similar results with HPQCD
- There are some differences in the slopes

- How good is the compatibility?
- Do the differences yield significant pheno consequences?

## **Strategy A**

#### Frequentist fit

| K | $f K_j$ | $c_1 K_J$ | $E_2 K_g$ | $a_{g,0}$   | $a_{g,1}$  | $a_{g,2}$ | $a_{g,3}$  | p    | $\chi^2/N_{\rm dof}$ | $N_{ m dof}$ |
|---|---------|-----------|-----------|-------------|------------|-----------|------------|------|----------------------|--------------|
| 2 | 2       | 2         | 2         | 0.03138(87) | -0.059(24) | -         | -          | 0.95 | 0.62                 | 30           |
| 3 | 3       | 3         | 3         | 0.03131(87) | -0.046(36) | -1.2(1.8) | -          | 0.90 | 0.67                 | 26           |
| 4 | 4       | 4         | 4         | 0.03126(87) | -0.017(48) | -3.7(3.3) | 49.9(53.6) | 0.79 | 0.75                 | 22           |

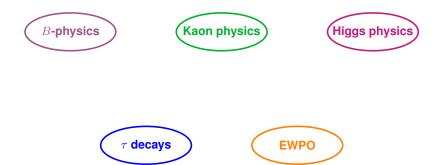
- good fit quality
- · lattice data are compatible
- no unitarity

#### **Bayesian Fit**

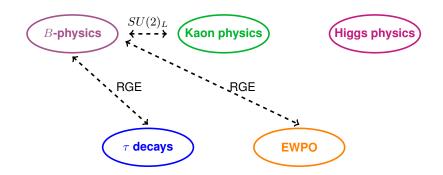
| K | $f K_j$ | $r_1 K_J$ | $r_2 K_g$ | $a_{g,0}$   | $a_{g,1}$  | $a_{g,2}$ | $a_{g,3}$ |
|---|---------|-----------|-----------|-------------|------------|-----------|-----------|
| 2 | 2       | 2         | 2         | 0.03018(76) | -0.101(21) | =         | -         |
| 3 | 3       | 3         | 3         | 0.03034(78) | -0.087(24) | -0.34(45) | -         |
| 4 | 4       | 4         | 4         | 0.03035(77) | -0.089(23) | -0.27(41) | -0.04(45) |

- unitarity regulates higher orders
- truncation dependent

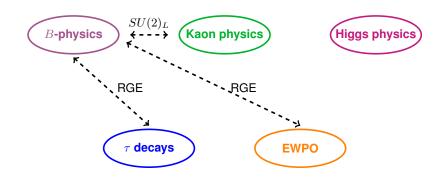
### What's the problem for BSM?



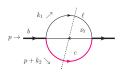
### What's the problem for BSM?



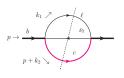
#### What's the problem for BSM?



How to satisfy all the constraints at the same time?

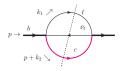


$$\Gamma = \frac{1}{m_B} \operatorname{Im} \int d^4 x \langle B(p) | T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle$$



$$\Gamma = \frac{1}{m_B} \operatorname{Im} \int d^4x \langle B(p) | T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle$$

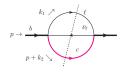
$$\sum_{n,i} \frac{1}{m_b^n} \mathcal{C}_{n,i} \mathcal{O}_{n+3,i}$$



$$\Gamma = \frac{1}{m_B} \operatorname{Im} \int d^4x \langle B(p) | T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle$$

$$\sum_{n,i} \frac{1}{m_i^n} \mathcal{C}_{n,i} \mathcal{O}_{n+3,i}$$

- The Wilson coefficients are calculated perturbatively
- The matrix elements  $\langle B(p)|\mathcal{O}_{n+3,i}|B(p)\rangle$  are non perturbative
  - ⇒ They need to be determined with non-perturbative methods, e.g. Lattice QCD
  - ⇒ They can be extracted from data
  - $\Rightarrow$  With large n, large number of operators



$$\Gamma = \frac{1}{m_B} \operatorname{Im} \int d^4 x \langle B(p) | T \left\{ \mathcal{H}_{\text{eff}}^{\dagger}(x) \mathcal{H}_{\text{eff}}(0) \right\} | B(p) \rangle$$

$$\sum_{n,i} \frac{1}{m_h^n} \mathcal{C}_{n,i} \mathcal{O}_{n+3,i}$$

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  - ⇒ They need to be determined with non-perturbative methods, e.g. Lattice QCD
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  - $\Rightarrow$  With large n, large number of operators



### Theory framework for $B \to X_c \ell \bar{\nu}$

Double expansion in 1/m and  $\alpha_s$ 

$$\Gamma_{sl} = \Gamma_0 f(\rho) \left[ 1 + a_1 \left( \frac{\alpha_s}{\pi} \right) + a_2 \left( \frac{\alpha_s}{\pi} \right)^2 + a_3 \left( \frac{\alpha_s}{\pi} \right)^3 - \left( \frac{1}{2} - p_1 \left( \frac{\alpha_s}{\pi} \right) \right) \frac{\mu_R^2}{m_b^2} + \left( g_0 + g_1 \left( \frac{\alpha_s}{\pi} \right) \right) \frac{\mu_G^2(m_b)}{m_b^2} + d_0 \frac{\rho_D^3}{m_b^3} - g_0 \frac{\rho_{LS}^3}{m_b^3} + \dots \right]$$

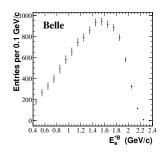
- The coefficients are known
- $\mu_{\pi}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}(i\vec{D})^{2}b_{v}|B\rangle_{\mu}$   $\mu_{G}^{2}(\mu) = \frac{1}{2m_{B}} \langle B|\bar{b}_{v}\frac{i}{2}\sigma_{\mu\nu}G^{\mu\nu}b_{v}|B\rangle_{\mu}$ 
  - ⇒ No Lattice QCD determinations are available yet
- Use for the first time of  $\alpha_s^3$  corrections

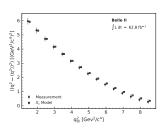
[Fael, Schönwald, Steinhauser, '20]

- Ellipses stands for higher orders
  - proliferation of terms and loss of predictivity

### How do we constrain the hadronic parameters?

We need information from kinematic distributions

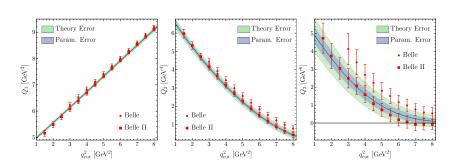




- Traditional method: Extract the hadronic parameters from moments of kinematic distributions in  $E_l$  and  $M_X$
- New idea: Use  $q^2$  moments to exploit the reduction of free parameters due to RPI
- Measurements of branching fractions are needed and are at the moment quite old
- Can we do it on the lattice?

### Global fit

|                | $m_b^{ m kin}$ | $\overline{m}_c$ | $\mu_{\pi}^2$ | $\mu_G^2$ | $\rho_D^3$ | $\rho_{LS}^3$ | $10^2 {\rm BR}_{c\ell\nu}$ | $10^3  V_{cb} $ | $\chi^2_{\rm min}(/{\rm dof})$ |
|----------------|----------------|------------------|---------------|-----------|------------|---------------|----------------------------|-----------------|--------------------------------|
| without        | 4.573          | 1.092            | 0.477         | 0.306     | 0.185      | -0.130        | 10.66                      | 42.16           | 22.3                           |
| $q^2$ -moments | 0.012          | 0.008            | 0.056         | 0.050     | 0.031      | 0.092         | 0.15                       | 0.51            | 0.474                          |
| Belle II       | 4.573          | 1.092            | 0.460         | 0.303     | 0.175      | -0.118        | 10.65                      | 42.08           | 26.4                           |
|                | 0.012          | 0.008            | 0.044         | 0.049     | 0.020      | 0.090         | 0.15                       | 0.48            | 0.425                          |
| Belle          | 4.572          | 1.092            | 0.434         | 0.302     | 0.157      | -0.100        | 10.64                      | 41.96           | 28.1                           |
|                | 0.012          | 0.008            | 0.043         | 0.048     | 0.020      | 0.089         | 0.15                       | 0.48            | 0.476                          |
| Belle &        | 4.572          | 1.092            | 0.449         | 0.301     | 0.167      | -0.109        | 10.65                      | 42.02           | 41.3                           |
| Belle II       | 0.012          | 0.008            | 0.042         | 0.048     | 0.018      | 0.089         | 0.15                       | 0.48            | 0.559                          |



## Two calculation approaches

#### 1. Splitting Functions

$$\left(\frac{d\Gamma}{dy}\right)^{(1)} = \frac{\alpha}{2\pi} \bar{L}_{b/e} \int_{y}^{1-\rho} \frac{dx}{x} P_{ee}^{(0)} \left(\frac{y}{x}\right) \left(\frac{d\Gamma}{dx}\right)^{(0)}$$

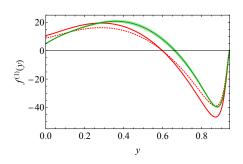
$$\log(m_b^2/m_e^2) \qquad \text{plus distribution}$$

- Correction vanishes for the inclusive branching fraction
- Suitable for evaluating  $\mathcal{O}(\alpha^2)$  and  $\mathcal{O}(\alpha/m_b^n)$  corrections

#### **2.** Full $\mathcal{O}(\alpha)$ corrections

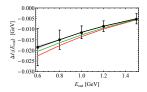
- Access all corrections, not only the one that factorise
- Real corrections are computationally expensive
  - ⇒ Cuba library employed to carry out the 4-body integration
  - ⇒ Phase space splitting used to reduce the size of the integrands

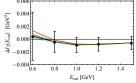
- We compute bins in the lepton energy using the full  $\mathcal{O}(\alpha)$  calculation
- We compare them to the results given by the splitting functions
- The difference the two calculations for the lepton energy spectrum and obtain a full analytic formula for the radiative corrections
  - ⇒ Relatively small, easy-to-use formula to obtain branching fractions, lepton energy moments w/o cuts

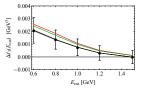


$$f^{(1)}(y) = \frac{\bar{L}_{b/e}}{2} f_{\rm LL}^{(1)}(y) + \Delta f^{(1)}(y)$$

- Babar provides data with and without applying PHOTOS to subtract QED effects
  - ⇒ Perfect ground to test our calculations
  - ⇒ Not the same for Belle at the moment, could be possible for future analysis

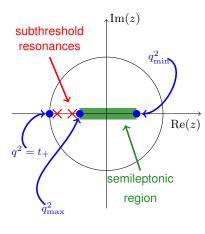






- The moments, since they are normalised, are not affected by the large threshold corrections
- The agreement with BaBar is very good

$$\langle E_\ell^n \rangle = \frac{\int_{E_\ell > E_{\ell, \mathrm{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\Gamma_{E_\ell > E_{\ell, \mathrm{cut}}}}$$



- in the complex plane form factors are real analytic functions
- $q^2$  is mapped onto the conformal complex variable z

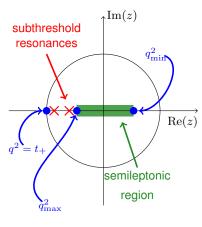
$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

•  $q^2$  is mapped onto a disk in the complex z plane, where  $|z(q^2, t_0)| < 1$ 

$$F_{i} = \frac{1}{P_{i}(z)\phi_{i}(z)} \sum_{k=0}^{n_{i}} a_{k}^{i} z^{k}$$
$$\sum_{k=0}^{n_{i}} |a_{k}^{i}|^{2} < 1$$

### The *z*-expansion and unitarity

[Boyd, Grinstein, Lebed, '95, Caprini, Lellouch, Neubert, '98]



- in the complex plane form factors are real analytic functions
- $q^2$  is mapped onto the conformal complex variable z

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

•  $q^2$  is mapped onto a disk in the complex z plane, where  $|z(q^2,t_0)|<1$ 

$$F_{i} = \frac{1}{P_{i}(z)\phi_{i}(z)} \sum_{k=0}^{n_{i}} a_{k}^{i} z^{k}$$
$$\sum_{k=0}^{n_{i}} |a_{k}^{i}|^{2} < 1$$

**BGL** 

# How to apply unitarity

• Penalty function in the  $\chi^2$  or likelihood

[P. Gambino, M. Jung, S. Schacht, '19]

$$\chi^2 \to \chi^2(a_k^i, a_k^i|_{\text{data}}) + w_i \theta \left(\sum_{k=0}^{n_i} |a_k^i|^2 - 1\right)$$

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Dispersive Matrix Method

[M. Di Carlo, G. Martinelli, M. Naviglio, F. Sanfilippo, S. Simula, L. Vittorio, '21]
[G. Martinelli, S. Simula, L. Vittorio, '21,'23]

$$\mathbf{M} = \begin{pmatrix} \chi & \phi f & \phi_1 f_1 & \phi_2 f_2 & \dots & \phi_N f_N \\ \phi f & \frac{1}{1-z^2} & \frac{1}{1-zz_1} & \frac{1}{1-zz_2} & \dots & \frac{1}{1-zz_N} \\ \phi_1 f_1 & \frac{1}{1-z_1z} & \frac{1}{1-z_1^2} & \frac{1}{1-z_1z_2} & \dots & \frac{1}{1-z_1z_N} \\ \phi_2 f_2 & \frac{1}{1-z_2z} & \frac{1}{1-z_2z_1} & \frac{1}{1-z_2^2} & \dots & \frac{1}{1-z_2z_N} \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \phi_N f_N & \frac{1}{1-z_Nz_1} & \frac{1}{1-z_Nz_1} & \frac{1}{1-z_Nz_2} & \dots & \frac{1}{1-z_N^2} \end{pmatrix}$$

$$\det \mathbf{M} > 0 \Rightarrow \beta - \sqrt{\gamma} \le f_0 \le \beta + \sqrt{\gamma}$$

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Penalty function in the  $\chi^2$  or likelihood

[P. Gambino, M. Jung, S. Schacht, '19]

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Dispersive Matrix Method

[M. Di Carlo, G. Martinelli, M. Naviglio, F. Sanfilippo, S. Simula, L. Vittorio, '211 [G. Martinelli, S. Simula, L. Vittorio, '21,'23]

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$$\det \mathbf{M} > 0 \Rightarrow \beta - \sqrt{\gamma} \le f_0 \le \beta + \sqrt{\gamma}$$

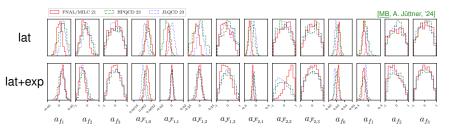
Bayesian inference

[J. Flynn, A. Jüttner, T. Tsang. '231

$$\langle g(\mathbf{a}) \rangle = \mathcal{N} \int d\mathbf{a} \, g(\mathbf{a}) \, \pi(\mathbf{a}|\mathbf{f}, C_{\mathbf{f}}) \pi_{\mathbf{a}}$$

contains the lattice  $\chi^2$ 

### **Posterior distribution**



- Small shifts between lattice only and lattice + data
- Higher order coefficients well constrained by unitarity
- $a_{\mathcal{F}_{2,2}}$  has a strange behaviour, maybe kinematic constraints?

# **QED** effects for inclusive $V_{cb}$

#### 1. Collinear logs: captured by splitting functions



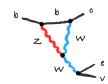
$$\sim \frac{\alpha_e}{\pi} \log^2 \left( \frac{m_b^2}{m_e^2} \right)$$

#### 2. Threshold effects or Coulomb terms



$$\sim \frac{2\pi\alpha_e}{3}$$

#### 3. Wilson Coefficient

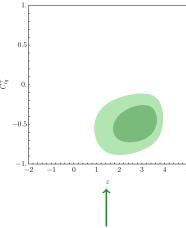


$$\sim \frac{\alpha_e}{\pi} \left[ \log \left( \frac{M_Z^2}{\mu^2} \right) - \frac{11}{6} \right]$$

# **Branching ratio**

- The total branching ratio is not affected by large logs due to KLN theorem
- The large corrections are from the Wilson Coefficient and the threshold effects

- ullet Large shift of the branching ratio of the same order of the current error on  $V_{cb}$
- How do we incorporate in the current datasets?
  - ⇒ Possible only on BaBar data
  - ⇒ A systematic approach is needed and foreseen for future experimental analysis
  - → How to evaluate structure-dependent terms is an open task





$$\begin{array}{l} \bullet \ R_{D^{(*)}} \\ \bullet \ B \rightarrow K^{(*)} \mu^+ \mu^- \end{array}$$

