Problem set 5: Energy spectrum of spinless Luttinger liquid

Due date: Jan 15

Landau Fermi liquid theory is a powerful paradigm to study normal interacting Fermi systems. In one spatial dimension, however, fermionic quasiparticle excitations decay too fast into particle-hole pairs and a bosonic Luttinger liquid emerges. In this problem set you are asked to diagonalize the Hamiltonian of the spinless Luttinger liquid and determine the dispersion relation of the collective particle-hole excitation. Consider an interacting system of spinless fermions governed by the Hamiltonian

$$\widehat{\mathcal{H}} = \frac{1}{L} \sum_{p} \widetilde{\xi}(p) a_{p}^{+} a_{p} + \frac{1}{2L^{2}} \sum_{p_{1} + p_{3} = p_{2} + p_{4}} V_{p_{1} - p_{2}} a_{p_{1}}^{+} a_{p_{2}} a_{p_{3}}^{+} a_{p_{4}}, \tag{1}$$

where $\xi(p) = (p^2 - p_0^2)/(2m)$ and V_k is the Fourier transform of the interaction potential, whose range r_0 is assumed to be much larger than the inverse of the Fermi momentum p_0 .

We are interested only in states which are close to the Fermi level. In the lecture we demonstrated that the free part of the Hamiltonian can be written as

$$\widehat{\mathcal{H}}_0 = \pi v \sum_k \left[\widehat{\rho}_R(k) \widehat{\rho}_R(-k) + \widehat{\rho}_L(k) \widehat{\rho}_L(-k) \right],$$
(2)

where the Fermi velocity $v = p_0/m$ and we introduced the right- and left-moving density operators

$$\widehat{\rho}_{R}(k) = \frac{1}{L} \sum_{p>0} a_{p-k/2}^{+} a_{p+k/2}, \quad \widehat{\rho}_{L}(k) = \frac{1}{L} \sum_{p<0} a_{p-k/2}^{+} a_{p+k/2}.$$
(3)

As for the interaction term, it is enough to consider only scattering with momenta close to the Fermi points, examples are shown in the figure



Figure 1: Different types of scattering processes close to the Fermi points, right- and left-moving particles are displayed with solid and dashed lines, respectively.

1. Which of the processes give rise to density-density interactions, i.e. interactions that can be written in terms of the densities (3) only?

- 2. The process d) called the Umklapp process does not conserve total momentum during the collision. Can you, however, imagine any system, where this process can happen?
- 3. Consider a model, where the interaction term reduces to the following pure density-density form

$$\widehat{\mathcal{H}}_{int} = \frac{1}{2} \sum_{k} g_1(k) (\widehat{\rho_R}(k) \widehat{\rho_R}(-k) + \widehat{\rho_L}(k) \widehat{\rho_L}(-k)) + \sum_{k} g_2(k) \widehat{\rho_R}(k) \widehat{\rho_L}(-k).$$
(4)

Using the decomposition of the density operators into the bosonic creation and annhilation operators

$$\widehat{\rho}_{R}(x) = \sum_{k>0} \frac{1}{\lambda_{k}L} \left(b_{k} e^{ikx} + b_{k}^{+} e^{-ikx} \right)$$

$$\widehat{\rho}_{L}(x) = \sum_{k<0} \frac{1}{\lambda_{k}L} \left(b_{k} e^{ikx} + b_{k}^{+} e^{-ikx} \right)$$
(5)

with $\lambda_k = (2\pi/|k|L)^{1/2}$, express the total Hamiltonian $\widehat{\mathcal{H}} = \widehat{\mathcal{H}}_0 + \widehat{\mathcal{H}}_{int}$ as

$$\widehat{\mathcal{H}} = \frac{1}{2\pi L} \sum_{k>0} \left[(2\pi kv + kg_1(k)) \left(b_k^+ b_k + b_{-k}^+ b_{-k} \right) + kg_2(k) \left(b_k^+ b_{-k}^+ + b_k b_{-k} \right) \right].$$
(6)

4. Diagonalize the Hamiltonian (6) using the Bogoliubov transformation

$$\begin{aligned}
\widetilde{b}_k &= \operatorname{ch} \theta_k b_k + \operatorname{sh} \theta_k b_{-k'}^+, \\
\widetilde{b}_{-k}^+ &= \operatorname{ch} \theta_k b_{-k}^+ + \operatorname{sh} \theta_k b_k.
\end{aligned}$$
(7)

Compute the commutators of the Bogoliubov creation and annihilation operators. Extract the dispersion relation of excitations $\omega(k)$ from the diagonal form of the Hamiltonian

$$\widehat{\mathcal{H}} = \frac{1}{L} \sum_{k} \omega(k) \widetilde{b}_{k}^{+} \widetilde{b}_{k}.$$
(8)

Discuss your result for the dispersion relation.